

**Section 2. Forms PTO/SB/08A and 08B (formerly Form PTO-1449)**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

# 4

Applicants: Cain et al.

Serial No: 09/661,273

Date Filed: September 13, 2000

Invention:

SYSTEM, DEVICE, AND METHOD FOR RECEIVER ACCESS CONTROL  
IN AN INTERNET TELEVISION SYSTEM

Attorney Docket: 2204/A50

Art Group Unit: 2152

Examiner Name:

**LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE STATEMENT****Other Documents**

Examiner Initials	Reference Number	Author	Title of Article, Title of Journal, Volume Number, Page Numbers, Date
<u>Tha</u>	AA	Fenner	"Internet Group Management Protocol, Version 2" Internet Engineering Task Force Request for Comments: 2236 November 1997
<u>Tha</u>	AB	Estrin et al.	"Protocol Independent Multicast - Sparse Mode (PIM-SM): Protocol Specification" Internet Engineering Task Force Request for Comments: 2362 June 1998
<u>Tha</u>	AC	Deering et al.	"Protocol Independent Multicast Version 2 Dense Mode Specification" Internet Engineering Task Force Internet Draft draft-ietf-pim-v2-dm-03.txt June 7, 1999
<u>Tha</u>	AD	Durham et al.	"The COPS (Common Open Policy Service) Protocol" Internet Engineering Task Force Request for Comments: 2748 January 2000

Thn

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Cain et al.

"Internet Group Management Protocol, Version 3"

Internet Engineering Task Force

Internet Draft draft-ietf-idmr-igmp-v3-04.txt

June 2000

Examiner Signature: Thn

Date Considered: 11/24/03

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation *if not* in conformance and not considered. Include copy of this form with next communication to applicant.

02204/00A50 141966.1

## Section 6. Copies of Listed Information Items Accompanying This Statement

*NOTE: 37 C.F.R. 1.98(a)(2) requires that any information disclosure statement filed under § 1.97 shall include: "A legible copy of: (i) Each U.S. and foreign patent; (ii) Each publication or that portion which caused it to be listed; and (iii) All other information or that portion which caused it to be listed, except that no copy of a U.S. patent application need be included . . ."*

*NOTE: The wording in § 1.98(a)(2)(iii) makes it clear that the requirement to submit a copy of each item of information listed in an information disclosure statement does not apply to the citation of a U.S. patent application. Notice of January 9, 1992, 1135 O.G. 13-25, at 14.*

Legible copies of all items listed in Forms PTO/SB/08A and 08B (formerly Form PTO-1449) accompany this information statement.

*(complete the following, if applicable)*

- ☐ Exception(s) to above:
  - ☐ Items in prior application, from which an earlier filing date is claimed for this application, as identified in Section 4.
  - ☐ Cumulative patents or publications identified in Section 5.

(Information Disclosure Statement—Section 6. Copies of Listed Information Items Accompanying  
This Statement [6-1]—page 6 of 7 )

**Section 10. Identification of Person(s) Making This Information Disclosure Statement**

The person making this statement is

*(check each applicable item)*

- (a) ☐ the inventor(s) who signs below

\_\_\_\_\_  
**SIGNATURE OF INVENTOR**

\_\_\_\_\_  
*(type name of inventor who is signing)*

- (b) ☐ an individual associated with the filing and prosecution of this application (37 C.F.R. § 1.56(c))

\_\_\_\_\_  
**SIGNATURE OF INVENTOR**

\_\_\_\_\_  
*(type name of inventor who is signing)*

- (c) ☐ the practitioner who signs below on the basis of the information:

*(check each applicable item)*

- ☒ supplied by the inventor(s).  
☐ supplied by an individual associated with the filing and prosecution of this application. (37 C.F.R. § 1.56(c))  
☒ in the practitioner's file.

  
\_\_\_\_\_  
**SIGNATURE OF PRACTITIONER**

Jeffrey T. Klayman

\_\_\_\_\_  
*(type or print name of practitioner)*

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(Information Disclosure Statement—Section 10. Identification of Person(s) Making This Information Disclosure Statement [8-1]—page 7 of 7)